

University of Georgia  
INTL 8270

## The Politics of Trade and Security

Time: Thursday 12:30 - 3:15

Place: Candler 117

Instructor: Joshua Massey

Email: [jnmassey@uga.edu](mailto:jnmassey@uga.edu)

Office Hours: Thursday 3:30 – 4:30 and by appointment

### Course Summary

This graduate seminar introduces students to the intersection of strategic trade and national and international security through a detailed examination of U.S. domestic and international strategic trade controls. The thrust of the course is decidedly policy-relevant in nature. The seminar is divided into three sections. Section I provides an historical perspective of export controls through an examination of the origins and demise of the Coordinating Committee (CoCom). Section II turns to U.S. domestic legislation, regulations, and agencies. In the second section students will closely examine the Export Administration Regulations (EAR) and the International Traffic in Arms Regulations (ITAR). Section III focuses on the contemporary Multilateral Export Control Regimes (MECR). In the third section students will examine the Nuclear Suppliers Group (NSG), Missile Technology Control Regime (MCTR), Wassenaar Arrangement (WA), Australia Group (AG) and United Nations Security Council Resolution 1540 (UNSCR 1540). Throughout the course students will be challenged to think in terms praxis. The training received in this seminar is designed to prepare students for internships and careers across the export control and broader international policy community to include, the U.S. government, international organizations, and private industry. In addition to class discussions and course work, the seminar will include visits from a variety of external speakers with experience working in the field of strategic trade management to offer both policy perspectives and career advice.

### Readings

All readings for this course are accessible through the University of Georgia library system or will be provided by the instructor. There is a reasonable reading load for the course. Reading assignments are listed on the syllabus the day they will be discussed in class. Each student is expected to complete the required readings and be prepared to participate actively in discussions.

### Course Requirements and Grading Components

Professionalism	20%	Weekly
Presentation and Report #1	20%	Jan 30th
Presentation and Report #2	20%	Feb 20th
Presentation and Report #3	20%	Mar 26th
Presentation and Report #4	20%	Apr 23rd

**Grading Scale**

92.00 to 100.00	=A	72.00 to 77.99	=C
90.00 to 91.99	=A-	70.00 to 71.99	=C-
88.00 to 89.99	=B+	68.00 to 69.99	=D+
82.00 to 87.99	=B	62.00 to 67.99	=D
80.00 to 81.99	=B-	60.00 to 61.99	=D-
78.00 to 79.99	=C+	Below 60.00	=F

**Professionalism** A student's professionalism grade is determined by one's class participation, attitude toward and enthusiasm for the topic and assignments, contribution to lectures and discussion, engagement in group activities, personal conduct and correspondence with all class members. Finally, attendance and punctuality are the hallmarks of professionalism. **Unexcused absences will result in a one letter decrease in the student's final grade.**

**Presentations and Reports**

There are four presentations and reports. These presentations and reports will focus on specific topics within the field of strategic trade management. Presentation and report #1 will address U.S. strategic trade control agencies and programs. Presentation and report #2 will focus on countries designated for embargoes and special controls as outlined in EAR Part 746. Presentation and report #3 will be a case study examining U.S. export control penalties and violations. Presentation and report #4 will address emerging issues in strategic trade. Each presentation should range between 10-15 minutes and each report should be between 4-5 pages. Students must provide the instructor with an electronic copy via email ([jnmassey@uga.edu](mailto:jnmassey@uga.edu)) and a hard copy of each presentation and report no later than the beginning of class on the due date (see "Course Requirements and Grading Components for due dates").

**Administrative Issues**

The course syllabus is a general plan for the course; deviations announced to the class by the instructor may be necessary. Please contact me during the first week of the term if you have a conflict that will prevent you from attending class or completing an assignment on the scheduled date. Make-up assignments are only offered for university-excused absences.

All academic work must meet the standards contained in the University of Georgia's "Culture of Honesty" ([http://www.uga.edu/honesty/ahpd/culture\\_honesty.htm](http://www.uga.edu/honesty/ahpd/culture_honesty.htm)). You are responsible to inform yourself about those standards before performing any academic work. My policy for academic dishonesty is very simple: Don't plagiarize: If you turn in a written assignment that is (in part) not your own work you will receive a failing grade for the *course*. Disciplinary proceedings to dismiss you from the University may be initiated against you. I will not tolerate academic dishonesty.

The University of Georgia seeks to provide students with disabilities the opportunity to fully participate in educational programs and services. In keeping with this philosophy, it is University policy that students with documented disabilities receive reasonable accommodations through access to classroom information. If you require **special accommodations** because of a university-documented condition, please contact me during the first two weeks of the semester and we can work with the Disability Resource Center in order to achieve a positive outcome.

**Important Dates**

January 7 <sup>th</sup> – 13 <sup>th</sup> :	Drop Add
February 28 <sup>th</sup> :	Midterm
March 20 <sup>th</sup> :	Withdrawal Deadline
March 9 <sup>th</sup> – 13 <sup>th</sup> :	Spring Break
April 28 <sup>th</sup> :	Classes End

**Course Overview:** The syllabus is a general plan for the course; deviations announced to the class by the instructor may be necessary.

**Week 1, January 9<sup>th</sup>**

Course Introduction and Overview

***Section I: Historical Perspective***

**Week 2, January 16<sup>th</sup>**

The Origins, Successes, Failures, and Demise of the Coordinating Committee (CoCom)

Readings:

Hunt, Cecil. 1983. "Multilateral Cooperation in Export Controls—The Role of CoCom" *University of Toledo Law Review*, 14(4): 1285-1297.

Yasuhara, Yoko. 1991: "The Myth of Free Trade: The Origins of COCOM 1945-1950" *The Japanese Journal of American Studies*, No. 4: 127-148.

Plousadis, James. 1983. "Soviet Diversion of the United States Technology: The Circumvention of CoCom the United States Reexport Controls, and Proposed Solutions". *Fordham International Law Journal*, 7(3): 561-591.

Cupitt, Richard T. and Suzette R. Grillot. 1997. COCOM is Dead, Long Live COCOM: Persistence and Change in Multilateral Security Institutions. *British Journal of Political Science*, 27, 361-389.

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***Section II: Domestic Agencies and Regulations***

**Week 3, January 23<sup>rd</sup>**

Topic: Contemporary Strategic Export Control: Export Administration Regulations (EAR) Scope and Exceptions

Readings:

Mastanduno, Michael. 1988. "Trade as a Strategic Weapon: American and Alliance Export Control Policy in the Early Postwar Period," *International Organization*, 42(1): 121-150.

Lieberman, Peter. 1996. "Trading with the Enemy: Security and Relative Economic Gains," *International Security*, 21(1): 147-175.

Export Administration Regulations (EAR), Part 730, 732, 734, 736, 738, 740.

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**Week 4, January 30<sup>th</sup>**

Topic: Contemporary Strategic Export Control: Export Administration Regulations (EAR) Control Policy

Presentation and Report #1: U.S. Strategic Trade Control Agencies and Programs

Readings:

Pryor, Crystal D. 2018. "Beyond Economics and Security: Strategic Export Control Practices in Advanced Countries" *Strategic Trade Review*, 4(6): 45-70.

Export Administration Regulations (EAR), Part 742, 743, 744, 745.

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**Week 5, February 6<sup>th</sup>**

Guest Speaker: Dina Molaison, Senior International Trade Specialist, U.S. Commercial Service, International Trade Administration (ITA), Department of Commerce

Readings:

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**Week 6, February 13<sup>th</sup>**

Export Controls and Academia

Guest Speaker: Juan Hernandez, Export Control Specialist, The George Washington University

Readings:

Charatsis, Christos. 2017. "Dual Use Research and Trade Controls: Opportunities and Controversies," *Strategic Trade Review*, 3(4): 47-68.

Starks, Brian and Christopher Tucker. 2017. "Export Control Compliance and American Academia," *Strategic Trade Review*, 3(4): 69-79.

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**Week 7, February 20<sup>th</sup>**

Presentation #2: Team Embargo and Sanction Reports

Readings:

EAR Part 746

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**Week 8, February 27<sup>th</sup>**

Center for International Trade and Security – DOS Cooperative Threat Reduction Event: Kuala Lumpur, Malaysia

**Week 9, March 5<sup>th</sup>**

Topic: Contemporary Strategic Export Control: International Traffic in Arms Regulations (ITAR)

Readings:

Salisbury, Daniel. 2017. "Tomcat and Mouse: Iranian Illicit Procurement of U.S. Legacy Military Technologies, 1979-2016," *Strategic Trade Review*, 3(5): 25-46.

O'Sullivan, Timothy. 2017. "New Approaches to Tailoring Control Lists for National Implementation," *Strategic Trade Review*, 3(5): 71-92.

International Traffic in Arms Regulations (ITAR): Part TBD

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**March 12<sup>th</sup>**

Spring Break

Center for International Trade and Security Student D.C. Trip

**Week 10, March 19<sup>th</sup>**

Center for International Trade and Security – DOS Cooperative Threat Reduction Event: Indonesia Nuclear Security and Safety Conference, UGA.

**Week 11, March 26<sup>th</sup>**

Presentation #3: Penalties and Violations Case Study

Guest Speaker: Sam McCoy, International Trade Compliance Analyst, Sierra Nevada Corporation

Readings:

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***Section III: Multilateral Export Control Regimes***

**Week 12, April 2<sup>nd</sup>**

Multilateral Export Control Regimes I: Nuclear Suppliers Group (NSG), Missile Technology Control Regime (MTCR)

Readings:

Lipson, Michael. 1999. "The Reincarnation of CoCom: Explaining Post-Cold War Export Controls," *The Nonproliferation Review*, Winter: 33-51.

Hibbs, Mark. 2017. "A More Geopoliticized Nuclear Suppliers Group?" *Strategic Trade Review*, 3(5): 5-24.

Fuhrmann, Matthew. 2009. "Taking a Walk on the Supply Side: The Determinants of Civilian Nuclear Cooperation," *Journal of Conflict Resolution*, 53(2): 181-208.

Kroenig, Matthew. 2009. "Exporting the Bomb: Why States Provide Sensitive Nuclear Assistance" *American Political Science Review*, 103(01): 113-133.

**Week 13, April 2<sup>nd</sup>**

Multilateral Export Control Regimes II: Wassenaar Arrangement (WA), Australia Group (AG), United Nations Security Council Resolution 1540 (UNSCR 1540)

Guest Speaker: Igor Khripunov, CITS Nonresident Fellow, Former Senior Editor 1540 Compass

Readings:

Cupitt, Richard T. 2017. "Developing Indices to measure Chemical Strategic Trade Security Controls," *Strategic Trade Review*, 3(5): 47-70

Stinnett, Douglas, M., Bryan R. Early, Gale Horne, and Johannes Karreth. 2011. "Complying by Denying: Explaining Why States Develop Nonproliferation Export Controls," *International Studies Perspective*, 12: 308-326.

Fuhrmann, Matthew. 2008. "Exporting Mass Destruction? The Determinants of Dual-Use Trade," *Journal of Peace Research*, 45(5): 633-652.

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**Week 14, April 9<sup>th</sup>**

Emerging Challenges in Strategic Trade

Readings:

Osborne, Steve. 2017. "Brexit Implications for Proliferation and National Security: Challenges Facing the United Kingdom and the European Union in Controls and Enforcement," *Strategic Trade Review*, 3(5): 119-134.

Brockmann, Kolja. 2018. "Drafting, Implementing, and Complying with Export Controls: The Challenge Presented by Emerging Technologies," *Strategic Trade Review*, 4(6): 5-37.

Viski, Andrea. 2017. "United States and European Union Strategic Trade Assistance: A Comparative Analysis," *Strategic Trade Review*, 3(5): 93-110.

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**Week 15, April 23<sup>rd</sup>**

Presentation #4: Emerging Issues in Strategic Trade