PADP 8830 SEMINAR IN PUBLIC BUDGETING Wednesdays @ 18:50-21:30 (SPRING 2017)

Department of Public Administration & Policy School of Public & International Affairs The University of Georgia

Instructor: Tima T. Moldogaziev, Ph.D. **Office Hours:** T/W 09:30-10:30; or

Office #: Baldwin Hall 406 by appointment.

Course Materials: Posted on eLC Classroom: Baldwin Hall G41

E-mail: timatm@uga.edu or via eLC Course #: 41209

Course Description & Objectives:

Public Budgeting is a graduate level *public sector budgeting theory and practice* seminar specifically designed for doctorate and upper-level MPA students. The course introduces students to fundamental functions of public sector budgets, relevant concepts and their descriptive properties, competing theories that explain the antecedents, processes, and resulting outcomes of public expenditure decisions, empirical studies that test public sector budgeting theories, and implications that empirical evidence offers to fiscal policy and practice. The course also introduces students to elements of public expenditure data collection, univariate and multivariate inferential research that informs policy and practice through evidence-based and data-driven analysis, and develop skills to effectively and efficiently communicate empirical findings to public sector stakeholders. Therefore, the course requires a persistent and consistent effort from all students to: 1) complete weekly readings and article critiques in time; 2) engage in data collection, analysis and collaboration with peers; 3) craft a final research paper and presentation, consisting of an independent literature review of existing theoretical and empirical scholarly work as well as an inferential evaluation of important relationships involving public expenditures (where public expenditures serve both as an outcome and/or an explanatory variable).

The course will start with an introduction to public sector budget functions, structures, and processes. This is followed by the history of public budgeting in the US and the seminal theoretical articles regarding public sector budgeting. We then evaluate the core dynamics in federal, state & local, and intergovernmental budget decisions. Finally, we assess the roles that politics, bureaucracy, economy, time horizons, reforms, as well as special public sector concerns such as organizational performance or fiscal health. Relationships going in the other direction (i.e., from public expenditures to political, organizational, economic, and other societal phenomena and processes) are also assessed throughout the semester.

By the end of this course, a) students will master the concepts and theories used in public sector budgeting; b) students will have robust skills to evaluate budgets; c) students will come to understand the budgetary functions & processes and recognize the roles of budgetary stakeholders; d) students will come to understand current issues/challenges in public budgeting; e) students will have the skills to generate important research and policy questions, describe fiscal relationships using relevant theoretical frameworks, and build testable hypotheses that they develop from these frameworks; e) students will have the skills to collect public sector budget data and undertake univariate and multivariate analyses; f) students will have the skills to generate and communicate relevant evidence-based solutions to policy researchers and practitioners.

Required Reading Materials, Skills, & Tools:

- 1) Textbook I: **Willoughby, Katherine G.** (2014). *Public Budgeting in Context: Structure, Law, Reform, and Results.* Jossey-Bass/Wiley: San Francisco, CA. ISBN: 978-1-118-50932-6 (hardback) / 978-1-118-91311-6 (pdf) / 978-1-11891310-9 (e-publication).
- 2) Textbook II: **Gosling, James J.** (2009). *Budgetary Politics in American Governments*. Routledge: New York, NY. ISBN: 978-0-415-99511-5 (paperback) / 978-0-415-80015-0 (hardback) / 978-0-203-88945-9 (e-publication).
- 3) Extra articles and book chapters ALL available on eLC in *Readings* directory (you should also be able to find the journal articles through UGA's electronic library access system).
- 4) Access to a computer station that has a range of necessary work applications (Word, Excel, Powerpoint, etc.). Expertise with <u>EXCEL is REQUIRED</u>. Major home assignments will require use of Excel.
- 5) Access to a basic data analysis application (e.g., Stata, SPSS, R or others) and familiarity with descriptive and quantitative approaches (e.g., univariate statistics, multiple regression methods, and hypothesis testing) is expected. It is **highly encouraged** that students enroll in this seminar after Data Applications in Public Administration (PADP 7120).
- 6) It is also <u>highly encouraged</u> that students enroll in this seminar after Public Financial Administration (PADP 6930). Those with public sector budgeting or economics experiences, or economics, finance, & accounting majors can enroll without PADP 6930 please speak to the course instructor if you are unsure about your level of preparedness.
- 7) PhD students: please see the course instructor for additional and/or alternative assignments & arrangements.

Grading Scale:

| A | 93-100 | B- | 80-82 | D+ | 67-69 |
|----|--------|----|-------|----|-------|
| A- | 90-92 | C+ | 77-79 | D | 63-66 |
| B+ | 87-89 | C | 73-76 | D- | 60-62 |
| В | 83-86 | C- | 70-72 | F | < 60 |

Final Grading Components:

| Assignment/Task | Percent of Fi | nal Grade | Total |
|---------------------------------|---------------|---------------------------------|-------|
| HW 1 | 10% | | |
| HW 2 | 15 | | |
| HW 3 | 20 | (10%+15%+20%) | 45% |
| Final Research Paper | 25 | | |
| Research Paper Presentation | 10 | | |
| _ | | (25% + 10%) | 35 |
| Article Critiques & Discussions | 20 | (25% + 10%) $(5\% \times 4)$ | 20 |
| GRAND TOTAL | | | 100% |

Home Assignments (45%):

There are three assignments dealing with public sector budgeting transparency, budget review, and spending-revenue-debt service analysis.

- The first deals with an exploration of budget information online—quality and transparency of budget data.
- The second is a budget review analysis—a critical assessment of a governmental budget.
- The last one is a univariate and bivariate analysis of spending levels, revenues, and debt service trends.

All three will involve the use of Excel and/or collection of public expenditure data. Specific details will be spelled out in the guidelines for each assignment.

Final Research Paper (35%):

There is a final research project in this course, which consists of two parts. The actual research component: complete with developing a theoretical framework relevant for one's research question(s), completing a literature review and conducting hypothesis tests, writing sections on descriptive and inferential data analyses, and reporting of empirical findings and their policy/practical implications. The presentation component: all research papers are to be presented during the last class of the semester.

Article Critiques & Discussions (20%):

Each student is responsible for 4 individual reports (on 2 journal articles each time) for select topics throughout the semester. These need to be completed by Mondays @ 5pm of each week and sent out to all students. The authors of these reports then discuss their articles in the classroom for about 15 minutes and pose 2-3 questions to all students in the class. Of course, all other students are expected to participate in these discussions with their own reflections and critiques of the journal articles. Needless to remind you that graduate students are expected to have completed the readings before the class—regardless of whether they were assigned to lead a discussion or not.

Attendance & Participation:

It is expected that the students attend all classes. Work in graduate seminars is based on student attendance and participation. It is our common 'job' to make sure that all of us are doing our part to teach ourselves and our peers the course materials assigned in the seminar. We must all participate, and we must do so in an ethical and respectful manner toward each other.

Note 1: I do understand that we all have the days when we are late. Should you be late, don't be upset, you are very welcome to join the class. However, **chronic lateness** will be considered as negative participation and will be graded correspondingly (after a short while, it becomes obvious who is chronically late).

Note 2: Leaving class early (without a serious reason or prior notice; i.e. before class) is very disrespectful. If you leave in the middle of the class without any substantive justification, your action will be considered as disruptive participation.

Note 3: To reiterate, please participate in class discussions by using the widely expected and accepted norms of civility. Please adhere to the norms of university student conduct. If you are not sure what these are, please study the link: Office of Academic Integrity: http://www.uga.edu/honesty/ahpd/culture_honesty.htm.

A student that accumulates 3 instances of disruptive participation will see a 10% deduction from his/her total grade.

Academic Honesty:

The University of Georgia requires all members of the University community to be responsible for knowing and understanding the policy on academic honesty. In addition, every student must agree to abide by the University of Georgia's academic honesty policy and procedures when applying for admission to the University of Georgia.

The University of Georgia defines academic honesty as "performing all academic work without plagiarism, cheating, lying, tampering, stealing, giving or receiving unauthorized assistance from any other person, or using any source of information that is not common knowledge without properly acknowledging the source." Academic dishonesty is defined as "performing, attempting to perform, or assisting any other person in performing any academic work that does not meet this standard of academic honesty."

According to the policy's prohibited conduct, "No student shall perform, attempt to perform, or assist another in performing any act of dishonesty on academic work to be submitted for academic credit or advancement. A student does not have to intend to violate the honesty policy to be found in violation. For example, plagiarism, intended or unintended, is a violation of this policy." The policy also states that, "Any behavior that constitutes academic dishonesty is prohibited."

ANY INSTANCE OF ACADEMIC DISHONESTY WILL NECESSARILY RESULT IN A **GRADE OF F FOR THIS COURSE**. In addition, the instructor reserves the right to pursue further academic disciplinary action through all channels available in the department, school, and the university. It is your responsibility to adhere to the University of Georgia's policies concerning academic honesty.

Students with Disabilities:

Students with a disability that requires special accommodations should contact the Disability Resource Center to discuss their needs and obtain appropriate paperwork. I cannot make special accommodations for students with disabilities unless students have completed the appropriate paperwork to register with the Disability Resource Center.

eLC:

This syllabus, relevant reading materials, and homework materials will be posted on eLC. More on this will be discussed in the classroom throughout the semester.

Other...

It is the student's responsibility to keep <u>all copies of graded/returned assignments</u> for this course. This will protect all the parties involved should any misunderstandings arise. "Cell phones, pagers, walky-talkies, or any other similar electronic devices" must be switched off during the class time. No texting will be tolerated either. Should the student need to keep such a device switched on for any important reason, the course instructor should be consulted before the start of the class. The course instructor reserves the right to define what an "important reason" constitutes. Finally, <u>laptops and computers can be used, but they should be used for the purposes that are directly related to students' tasks and performance in the class. At a minimum, the use of technology should not disrupt the learning process in the classroom.</u>

Class schedule: January 5th through May 4th, 2017

(This class schedule is subject to adjustments; any changes will be announced in advance and/or posted on eLC; * = suggested readings).

THEMES/PEADINGS/FYTPA MATERIALS

ASSIGN

| WEEKS | THEMES/READINGS/EXTRA MATERIALS | ASSIGNMENTS |
|----------|--|-------------|
| (DATES) | Complete ALL readings PRIOR to class. | OUT/DUE |
| Week 1 | Introduction & Course Arrangements | |
| (Jan 11) | Syllabus & Public Expenditure Sources, Data Management, and Analysis | |
| | | |
| | Public Budgeting: Functions and Structure | |
| | Willoughby—Chapter 1. | |
| | Gosling—Chapter 1 (pp.1-12). | |
| | Schick, Allen. 1966. "The Road to PBB: The Stages of Budget Reform." <i>Public Administration Review</i> 26(4): 243-258. | |
| | Mitchell, David, and Kurt Thurmaier. 2012. "Currents and Undercurrents in Budgeting Theory: Exploring the Swirls, Heading Upstream." In <i>Foundations of Public Administration Series</i> , PAR/ASPA. | |
| | Rubin, Irene S. 1999. "Understanding the Role of Conflict in Budgeting." Chapter 2 (pp. 30-52) in <i>Handbook of Government Budgeting</i> , Meyers, Roy T. ed., Jossey-Bass: San Francisco, CA. | |
| Week 2 | Public Budgeting: Functions and Structure Continued | |
| (Jan 18) | Willoughby—Chapter 2. | |
| | Gosling—Chapter 1 (pp.13-26). | **HW #1 |
| | McCaffery, Jerry. 1999. "Features of the Budgetary Process." Chapter 1 (pp. 3-29) in Handbook of Government | Assigned** |
| | Budgeting, Meyers, Roy T. ed., Jossey-Bass: San Francisco, CA. | |
| | Grizzle, Gloria A. 1986. "Does Budget Format Really Govern the Actions of Budgetmakers?" <i>Public Budgeting</i> | |
| | and Finance 6(1): 60-70. | |
| | Rivlin, Alice M. 2012. "Rescuing the Budget Process." <i>Public Budgeting and Finance</i> 32(3): 53-56. | |
| Week 3 | History & Theories of Public Budgeting | |
| (Jan 25) | Willoughby—Chapter 3. | |
| | Gosling—Chapter 2. | |
| | Rubin, Irene S. 1993. "Who Invented Budgeting in the United States?" <i>Public Administration Review</i> 53(5): 438-444. | |
| | Rubin, Irene S. 2015. "Past and Future Budget Classics: A Research Agenda." <i>Public Administration Review</i> 75(1): 25-35. | |
| | Key, Valdimer O. 1940. "The Lack of a Budgetary Theory." <i>American Political Science Review</i> 34(6): 1137-1144. | |
| | Lewis, Verne B. 1981. "Toward a Theory of Budgeting." <i>Public Budgeting and Finance</i> 1(3): 69-82. | |
| | | |

| | Wildavsky, Aaron. 1961. "Political Implications of Budgetary Reform." Public Administration Review 21(4): 183-190. LeLoup, Lance T. 1978. "The Myth of Incrementalism: Analytical Choices in Budgetary Theory." Polity 10(4): 488-509. White, Joseph. 1994. "(Almost) Nothing New under the Sun: Why the Work of Budgeting Remains Incremental." Public Budgeting and Finance 14(1): 113-134. *Gibran, Joan M., and Alex Sekwat. 2008. "Continuing the Search for a Theory of Public Budgeting." Journal of Public Budgeting, Accounting, and Financial Management 21(4): 617-644. *Kelly, Janet M., and William C. Rivenbark. 2008. "Budget Theory in Local Government: The Process-Outcome Conundrum." Journal of Public Budgeting, Accounting, and Financial Management 20(4): 457-481. | |
|-------------------|--|-----------------------------------|
| Week 4 (Feb 1) | Federal Budgeting, & Budget Organization Willoughby—Chapter 3. Gosling—Chapters 3 & 6. White, Joseph. 1998. "Making "Common Sense" of Federal Budgeting." Public Administration Review 58(2): 101-108. Joyce, Philip G. 2005. "Federal Budgeting After September 11th: A Whole New Ballgame, or Is It Deja Vu All Over Again?" Public Budgeting and Finance 25(1): 15-31. Posner, Paul. 2011. "Federalist No. 30: What Is to Be Done About the Federal Budget?" Public Administration Review 71(6S): 53-61. Meyers, Roy T. 2014. "The Implosion of the Federal Budget Process: Triggers, Commissions, Cliffs, Sequesters, Debt Ceilings, and Shutdown." Public Budgeting and Finance 34(4): 1-23. Posner, Paul, and Steve Redburn. 2016. "Memo to the President: Reforming the Federal Budget Process." Government Executive. Available at: http://www.govexec.com/excellence/promisingpractices/2016/12/memopresidentreformingfederalbudgetprocess/134148/. | |
| Week 5 (Feb 8) | Intergovernmentalism & Fragmentation *Break, George F. 1982. "Changes in Intergovernmental Fiscal Patterns." Public Budgeting and Finance 2(4): 42-57. Ray, Marcella Ridlen, and Timothy J. Conlan. 1996. "At What Price? Costs of Federal Mandates Since the 1980s." State and Local Government Review 28(1): 7-16. *Rodden, Jonathan. 2002. "The Dilemma of Fiscal Federalism: Grants and Fiscal Performance around the World." American Journal of Political Science 46(3): 670-687. Vaillancourt, Francois, and Richard M. Bird. 2007. "The Interregional Incidence of Central Budgets in Federations: Some Evidence from Canada." Public Budgeting and Finance 27(1): 1-19. | **HW #1 DUE** **HW #2 Assigned** |

| | *Fisher, Ronald C., and Robert W. Wassmer. 2015. "An Analysis of State-Local Government Capital | |
|----------|--|--|
| | Expenditure During 2000s." <i>Public Budgeting and Finance</i> 35(1): 3-28. | |
| | Nesbit, Todd M., and Steven F. Kreft. 2009. "Federal Grants, Earmarked Revenues, and Budget Crowd-Out: | |
| | State Highway Funding." <i>Public Budgeting and Finance</i> 29(2): 94-110. | |
| | Jimenez, Benedict S. 2014. "Separate, Unequal, and Ignored? Interjurisdictional Competition and the | |
| | Budgetary Choices of Poor and Affluent Municipalities." <i>Public Administration Review</i> 74(2): 246-257. | |
| | *Kunz, Karen, and Sean O'Leary. 2012. "The Importance of Federal Earmarks to State Coffers: An | |
| | Examination of Distribution Trends Over the Decade." Journal of Public Budgeting, Accounting, and | |
| | Financial Management 24(4): 579-608. | |
| | DeHoog, Ruth Hoogland, David Lowery, and William E. Lyons. 1991. "Metropolitan Fragmentation and | |
| | Suburban Ghettos: Some Empirical Observations on Institutional Racism." <i>Journal of Urban Affairs</i> 13(4): | |
| | 479–93. | |
| | Jimenez, Benedict S., and Rebecca Hendrick. 2010. "Is Government Consolidation the Answer?" State and | |
| | Local Government Review 42(3): 258–70. | |
| | *Yeung, Ryan. 2009. "The Effects of Fiscal Decentralization on the Size of Government: A Meta-Analysis." | |
| | Public Budgeting and Finance 29(4): 1–23. | |
| Week 6 | State Budgeting | |
| (Feb 15) | Willoughby—Chapter 4. | |
| | Gosling—Chapter 7. | |
| | Rubin, Irene S. 2005. "The State of State Budget Research." <i>Public Budgeting and Finance</i> 25(4S): 46-67. | |
| | LaPlante, Josephine M. 2011. "Seven Habits of Unsustainable Budget Building: A State Policy Perspective." | |
| | Journal of Public Budgeting, Accounting, and Financial Management 23(2): 215-267. | |
| | Hendrick, Rebecca M., and James C. Garand. 1991. "Expenditure Tradeoffs in the U.S. States: A Pooled | |
| | Analysis." Journal of Public Administration Research and Theory 1(3): 295-318. | |
| | Miller, Susan M., and Lael R. Keiser. 2012. "State Governments as Entrepreneurs in Securing Federal Benefits | |
| | for Their Citizens." <i>Publius: The Journal of Federalism</i> 43(4): 497-526. | |
| | Dadayan, Lucy, and Donald J. Boyd. 2016. "Widespread Declines in State Tax Revenues in the Second Quarter | |
| | of 2016: State Budgets Face New Uncertainties in the Aftermath of the Election." State Revenue Report No. | |
| | 105. New York, NY: The Nelson A. Rockefeller Institute of Government, SUNY. | |
| Week 7 | Local Budgeting | |
| (Feb 22) | Willoughby—Chapter 5. | |
| - | Gosling—Chapter 8. | |
| | Tiebout, Charles M. 1956. "A Pure Theory of Local Expenditures." Journal of Political Economy 64(5): 416- | |
| | 424. | |
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| Mullins, Daniel R., and Michael A. Pagano. 2005. "Local Budgeting and Finance: 25 Years of Developments." Public Budgeting and Finance: 25(48): 3-45. *Eskridge, Robert D., and P. Edward French. 2011. "Using the Adapted Cities Framework to Evaluate Per Capita Expenditures in Small U.S. Municipalities." Journal of Public Budgeting, Accounting, and Financial Management 23(3): 338-360. Berner, Maureen. 2005. "A Race to the Bottom? Exploring County Spending Shortfalls under Welfare Reform in North Carolina." Public Budgeting and Finance: 25(4): 86-104. Hamilton, David K., David Y. Miller, and Jerry Paylas. 2004. "Exploring the Horizontal and Vertical Dimensions of the Governing of Metropolitan Regions." Urban Affairs Review 40(2): 147-82. *Bahl, Roy, 1994. "Metropolitan Fiscal Disparities." Cityscape 1(1): 293-306. Week 8 (Mar 1) Willoughby—Chapters 6 & 7 (8). Gosling—Chapter 4. Filimon, Radu, Thomas Romer, and Howard Rosenthal. 1982. "Asymmetric Information and Agenda Control: The Bases of Monopoly Power in Public Spending." Journal of Public Economics 17(2): 51-70. Alesina, Alberto, and Guido Tabellini. 1990. "A Positive Theory of Fiscal Deficits and Government Debt." Review of Economic Studies 57(3): 403-414. All, James E., and Robert C., Lowry. 1994. "Divided Government, Fiscal Institutions, and Budget Deficits: Evidence from the States." American Political Science Review 92(4): 759-774. *Goodman, Doug. 2008. "Executive Budget Analysts and Legislative Budget Analysts: State Budgetary Gatekeepers." Journal of Public Budgeting, Accounting, and Financial Management 20(3): 299-322. Uppal, Yogesh, and Aminia Glazer. 2014. "Legislative Turnover, Fiscal Policy, and Economic Growth: Evidence from U.S. State Legislatures." Economic Inquiry 19(4): 1-17. Alm, James, and Mark Evrs. 1991. "The Item Veto and State Governmental Expenditures." Public Choice 68(1): 1-15. Barrilleaux, Charles, and Michael Berkman. 2003. "Do Governors Matter? Budgeting Rules and the Politics of State Policymaking." Political Resear | | | |
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| *Eskridge, Robert D., and P. Edward French. 2011. "Using the Adapted Cities Framework to Evaluate Per Capita Expenditures in Small U.S. Municipalities." Journal of Public Budgeting, Accounting, and Financial Management 23(3): 338-360. Berner, Maureen. 2005. "A Race to the Bottom? Exploring County Spending Shortfalls under Welfare Reform in North Carolina." Public Budgeting and Finance 25(4): 86-104. Hamilton, David K., David Y. Miller, and Jerry Paytas. 2004. "Exploring the Horizontal and Vertical Dimensions of the Governing of Metropolitan Regions." Urban Affairs Review 40(2): 147-82. *Bahl, Roy. 1994. "Metropolitan Fiscal Disparities." Cityscape 1(1): 293-306. Week 8 (Mar 1) Folitics and Public Budgeting Willoughby—Chapters 6 & 7 (8). Gosling—Chapter 4. Filimon, Radu, Thomas Romer, and Howard Rosenthal. 1982. "Asymmetric Information and Agenda Control: The Bases of Monopoly Power in Public Spending." Journal of Public Economics 17(2): 51-70. Alesina, Alberto, and Guido Tabellini. 1990. "A Positive Theory of Fiscal Deficits and Government Debt." Review of Economic Studies 57(3): 403-414. Alt, James E., and Robert C. Lowry. 1994. "Pivided Government, Fiscal Institutions, and Budget Deficits: Evidence from the States." American Political Science Review 24(4): 759-774. *Goodman, Doug. 2008. "Executive Budget Analysts and Legislative Budget Analysts: State Budgetary Gatckeepers." Journal of Public Budgeting, Accounting, and Financial Management 20(3): 299-322. Uppal, Yogesh, and Amiha Glazer. 2014. "Legislative Turnover, Fiscal Policy, and Economic Growth: Evidence from U.S. State Legislatures." Economic Inquiry 19(4): 1-17. Alm, James, and Mark Evers. 1991. "The Item Veto and State Governmental Expenditures." Public Choice 68(1): 1-15. Barrilleaux, Charles, and Michael Berkman. 2003. "Do Governors Matter? Budgeting Rules and the Politics of State Policymaking." Political Research Quarterly 56(4): 409-417. Week 9 (Mar 8) Week 10 Burcaucray and Public Budgeting Willoughby—Chapter 9. Gosling—Chapter 9. S | | | |
| Capita Expenditures in Small U.S. Municipalities." Journal of Public Budgeting, Accounting, and Financial Management 23(3): 338-360. Berner, Maurcen. 2005. "A Race to the Bottom? Exploring County Spending Shortfalls under Welfare Reform in North Carolina." Public Budgeting and Finance 25(4): 86-104. Hamilton, David K., David Y. Miller, and Jerry Paytas. 2004. "Exploring the Horizontal and Vertical Dimensions of the Governing of Metropolitan Regions." Urban Affairs Review 40(2): 147-82. *Bahl, Roy. 1994. "Metropolitan Fiscal Disparities." Cityscape 1(1): 293-306. Weck 8 (Mar 1) Weck 8 (Mar 1) Weck 9 Lowly, Robert C., James And Howard Rosenthal. 1982. "Asymmetric Information and Agenda Control: The Bases of Monopoly Power in Public Spending." Journal of Public Economics 17(2): 51-70. Alesina, Alberto, and Guido Tabellini. 1990. "A Positive Theory of Fiscal Deficits and Government Debt." Review of Economic Studies 57(3): 403-414. Alt, James E., and Robert C. Lowry. 1994. "Divided Government, Fiscal Institutions, and Budget Deficits: Evidence from the States." American Political Science Review 88(4): 811-828. Lowry, Robert C., James E. Alt, and Karen E. Ferree. 1998. "Fiscal Policy Outcomes and Electoral Accountability in American States." American Political Science Review 92(4): 759-774. **Goodman, Doug. 2008. "Executive Budget Analysts and Legislative Budget Analysts: State Budgetary Gatekeepers." Journal of Public Budgeting, Accounting, and Financial Management 20(3): 299-322. Uppal, Yogesh, and Amihai Glazer. 2014. "Legislative Turnover, Fiscal Policy Outcomes and Electoral Accountability in American States." American Political Science Review 92(4): 759-774. **Final Paper **Final Paper Assigned** **Final Paper Assigned** **Final Paper Assigned** **Final Paper Proposals: Weck 9 (Mar 8) Weck 10 Weck 9 Gosling—Chapter 9. Niskanen, William A. 1975. "Bureaucrats and Politicians." Journal of Law and Economics 18(3): 617-643. | | | |
| Management 23(3): 338-360. Berner, Maureca. 2005. "A Race to the Bottom? Exploring County Spending Shortfalls under Welfare Reform in North Carolina." Public Budgeting and Finance 25(4): 86-104. Hamilton, David K., David Y. Miller, and Jerry Paytas. 2004. "Exploring the Horizontal and Vertical Dimensions of the Governing of Metropolitan Regions." Urban Affairs Review 40(2): 147-82. **Bahl, Roy. 1994. "Metropolitan Fiscal Disparities." Cityscape 1(1): 293-306. Weck 8 (Mar 1) **Weck 8 (Mar 1) **Politics and Public Budgeting Willoughby—Chapters 6 & 7 (8). Gosling—Chapter 4. Filimon, Radu, Thomas Romer, and Howard Rosenthal. 1982. "Asymmetric Information and Agenda Control: The Bases of Monopoly Power in Public Spending." Journal of Public Economics 17(2): 51-70. Alesina, Alberto, and Guido Tabellini. 1990. "A Positive Theory of Fiscal Defician Government Debt." Review of Economic Studies 57(3): 403-414. Alt, James E., and Robert C. Lowry. 1994. "Divided Government, Fiscal Institutions, and Budget Deficits: Evidence from the States." American Political Science Review 92(4): 759-774. **Goodman, Doug. 2008. "Executive Budget Analysts and Legislative Budget Analysts: State Budgetary Gatckeepers." Journal of Public Budgeting, Accounting, and Financial Management 20(3): 299-322. Uppal, Yogesh, and Amihai Glazer. 2014. "Legislative Turnover, Fiscal Policy, and Economic Growth: Evidence from U.S. State Legislatures." Economic Inquiry 19(4): 1-17. Alm, James, and Mark Evers. 1991. "The Item Veto and State Governmental Expenditures." Public Choice 68(1): 1-15. Barrilleaux, Charles, and Michael Berkman. 2003. "Do Governors Matter? Budgeting Rules and the Politics of State Policymaking." Political Research Quarterly 56(4): 409-417. Week 9 Week 9 Week 9 Wheek 9 Willoughby—Chapter 9. Niskanen, William A. 1975. "Bureaucrats and Politicians." Journal of Law and Economics 18(3): 617-643. **Final Paper Proposals: | | | |
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| Evidence from U.S. State Legislatures." Economic Inquiry 19(4): 1-17. Alm, James, and Mark Evers. 1991. "The Item Veto and State Governmental Expenditures." Public Choice 68(1): 1-15. Barrilleaux, Charles, and Michael Berkman. 2003. "Do Governors Matter? Budgeting Rules and the Politics of State Policymaking." Political Research Quarterly 56(4): 409-417. Week 9 (Mar 8) Week 10 (Mar 22) Bureaucracy and Public Budgeting Willoughby—Chapter 9. Gosling—Chapter 9. Niskanen, William A. 1975. "Bureaucrats and Politicians." Journal of Law and Economics 18(3): 617-643. **Final Paper Proposals: | | | |
| Alm, James, and Mark Evers. 1991. "The Item Veto and State Governmental Expenditures." Public Choice 68(1): 1-15. Barrilleaux, Charles, and Michael Berkman. 2003. "Do Governors Matter? Budgeting Rules and the Politics of State Policymaking." Political Research Quarterly 56(4): 409-417. Week 9 (Mar 8) Week 10 (Mar 22) Bureaucracy and Public Budgeting Willoughby—Chapter 9. Gosling—Chapter 9. Niskanen, William A. 1975. "Bureaucrats and Politicians." Journal of Law and Economics 18(3): 617-643. **Final Paper Proposals: | | | |
| 68(1): 1-15. Barrilleaux, Charles, and Michael Berkman. 2003. "Do Governors Matter? Budgeting Rules and the Politics of State Policymaking." Political Research Quarterly 56(4): 409-417. Week 9 (Mar 8) Week 10 (Mar 22) Bureaucracy and Public Budgeting (Willoughby—Chapter 9. Gosling—Chapter 9. Niskanen, William A. 1975. "Bureaucrats and Politicians." Journal of Law and Economics 18(3): 617-643. **Final Paper Proposals: | | | |
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| Willoughby—Chapter 9. Gosling—Chapter 9. Niskanen, William A. 1975. "Bureaucrats and Politicians." Journal of Law and Economics 18(3): 617-643. **Final Paper Proposals: | | | |
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| Niskanen, William A. 1975. "Bureaucrats and Politicians." <i>Journal of Law and Economics</i> 18(3): 617-643. Proposals: | (Mar 22) | Willoughby—Chapter 9. | |
| Niskanen, William A. 1975. "Bureaucrats and Politicians." <i>Journal of Law and Economics</i> 18(3): 617-643. Proposals: | | Gosling—Chapter 9. | **Final Paper |
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| Discussions & | | | Discussions & |

| | Sigelman, Lee. 1986. "The Bureaucrat as Budget Maximizer: An Assumption Revisited." <i>Public Budgeting and Finance</i> 6(1): 50-59. *Smith, Robert W., and Mark Bertozzi. 1998. "Principals and Agents: An Explanatory Model for Public Budgeting." <i>Journal of Public Budgeting, Accounting, and Financial Management</i> 10(3): 325-353. *Kioko, Sharon N. 2011. "Structure of State-Level Tax and Expenditure Limits." <i>Public Budgeting and Finance</i> 31(2): 43-78. Kioko, Sharon N., and Christine R. Martell. 2012. "Impact of State-Level Tax and Expenditure Limits (TELs) on Government Revenues and Aid to Local Governments." <i>Public Finance Review</i> 40(6): 736-766. *Smith, Daniel L., and Yilin Hou. 2013. "Impact of State-Level Tax and Expenditure Limits (TELs) on Government Revenues and Aid to Local Governments." <i>Public Budgeting and Finance</i> 33(2): 1-18. Bourdeaux, Carolyn. 2008. "The Problem with Programs: Multiple Perspectives on Program Structures in Program-Based Performance-Oriented Budgets." <i>Public Budgeting and Finance</i> 28(2): 20-47. | Feedback** |
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| | Ryu, Jay Eungha, Cynthia J. Bowling, Chung-Lae Cho, and Deil S. Wright. 2008. "Exploring Explanations of State Agency Budgets: Institutional Budget Actors or Exogenous Environment?" <i>Public Budgeting and Finance</i> 28(3): 23-47. *Neshkova, Milena. 2014. "Does Agency Autonomy Foster Public Participation?" <i>Public Administration Review</i> 74(1): 64-74. | |
| Week 11 | Economy, Fiscal Stress, and Public Budgeting | |
| (Mar 29) | Gosling—Chapter 4. | |
| | Caiden, Naomi. 1981. "Public Budgeting Amidst Uncertainty and Instability." <i>Public Budgeting and Finance</i> 1(1): 6-19. | |
| | Ooms, Van Doorn, Ronald S. Boster, and Robert L. Fleegler. 1999. "The Federal Budget and Economic Management." Chapter 8 (pp. 197-226) in <i>Handbook of Government Budgeting</i> , Meyers, Roy T. ed., Jossey-Bass: San Francisco, CA. | |
| | Hou, Yilin. 2006. "Budgeting for Fiscal Stability over the Business Cycle: A Countercyclical Fiscal Policy and the Multiyear Perspective on Budgeting." <i>Public Administration Review</i> 66(5): 730-741. *Hou, Yilin. 2003. "What Stabilizes State General Fund Expenditures in Downturn Years-Budget Stabilization Fund or General Fund Unreserved Undesignated Balance?" <i>Public Budgeting and Finance</i> 23(3): 64-91. Wagner, Gary A., and Erick M. Elder. 2005. "The Role of Budget Stabilization Funds in Smoothing Government Expenditures over the Business Cycle." <i>Public Finance Review</i> 33(4): 439-465. | |
| | *Levinson, Arik. 2005. "Balanced Budgets and Business Cycles: Evidence from the States." <i>National Tax Journal</i> 51(4): 715-732. | |
| | Marlowe, Justin. 2005. "Fiscal Slack and Counter-Cyclical Expenditure Stabilization: A First Look at the Local Level." <i>Public Budgeting and Finance</i> 25(3): 48-72. | |
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| | Jordan, Meagan M. 2003. "Punctuations and Agendas: A New Look at Local Government Budget | |
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| | Expenditures." Journal of Policy Analysis and Management 22(3): 345-360. | |
| Week 12 | Long-Term Horizon and Public Budgeting | |
| (Apr 5) | Bland, Robert L., and Samuel Nunn. 1992. "The Impact of Capital Spending on Municipal Operating | |
| | Expenditures." <i>Public Budgeting and Finance</i> 12(2): 23-47. | |
| | Bland, Robert L., and Irene S. Rubin. 1997. "Planning and Budgeting for Capital Improvements." In <i>Budgeting</i> | |
| | a Guide for Local Governments. Washington, D.C.: International City/County Management Association. | |
| | Luby, Martin J. 1992. "Federal Intervention in the Municipal Bond Market: The Effectiveness of the Build | |
| | America Bond Program and Its Implications on Federal and Subnational Budgeting." <i>Public Budgeting and Finance</i> 32(4): 46-70. | |
| | Weiner, Jennifer. 2013. Assessing the Affordability of State Debt. Research Report 13-2. Boston, MA: New England Public Policy Center & Federal Reserve Bank of Boston. | |
| | White, Joseph. 1998. "Entitlement Budgeting vs. Bureau Budgeting." <i>Public Administration Review</i> 58(6): 510-520. | |
| | Giertz, J. Fred, and Leslie E. Papke. 2007. "Public Pension Plans: Myths and Realities for State Budgets." | |
| | National Tax Journal 60(2): 305-322. | |
| | Marton, James, and David E. Wildasin. 2007. "Medical Expenditures and State Budgets: Past, Present, and | |
| | Future." <i>National Tax Journal</i> 60(2): 279-303. | |
| | Rubin, Irene S. 2006. "Budgeting for Contracting in Local Government." <i>Public Budgeting and Finance</i> 26(1): | |
| | 1-13. | |
| Week 13 | OUT OF CLASS ASSIGNMENT | |
| (Apr 12) | Contemporary public budgeting issues: survey peer-reviewed journals (e.g., PAR, PB&F, PFR, JPBAFM, | |
| | ARPA, PA, etc.) for empirical articles regarding current issues in public budgeting. Write a 1-2 page review. | |
| | More details TBA. | |
| Week 14 | Reforms and Public Budgeting | |
| (Apr 19) | Willoughby—Chapter 10. | |
| | Gosling—Chapter 10. | |
| | Straussman, Jeffrey D. 1979. "A Typology of Budgetary Environments: Notes on the Prospects for Reform." | |
| | Administration and Society 11(2): 216-226. | **HW #3 DUE** |
| | McCaffery, Jerry. 1979. "Budget Reform: The Pass to Reform of Process." <i>International Journal of Public Administration</i> 7(4): 403-423. | **Current issue |
| | Joyce, Philip G. 1993. "The Reiterative Nature of Budget Reform: Is There Anything New in Federal | empirical article |
| | Budgeting?" Public Budgeting and Finance 13(3): 36-48. | review: |
| | Rubin, Irene S. 2002. "Perennial Budget Reform Proposals: Budget Staff versus Elected Officials." <i>Public Budgeting and Finance</i> 22(4): 1-16. | presentations & discussions** |
| | | |

| | *Bourdeaux, Carolyn. 2006. "Do Legislatures Matter in Budgetary Reform?" <i>Public Budgeting and Finance</i> 26(1): 120-142. •Musso, Juliet, Elizabeth Graddy, and Jennifer Grizard. 2006. "State Budgetary Processes and Reforms: The California Story." <i>Public Budgeting and Finance</i> 26(4): 120-142. *Lauth, Thomas P. 2014. "Zero-Based Budgeting Redux in Georgia: Efficiency or Ideology?" <i>Public Budgeting and Finance</i> 34(1): 1-17. Schick, Allen. 2013. "The Metamorphosis of Performance Budgeting." <i>OECD Journal of Budgeting</i> 13(2): 49- | |
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| Week 15 (Apr 26) | 79. Lu, Elaine Yi, Zachary Mohr, and Alfred Tat-Kei Ho. 2015. "Taking Stock: Assessing and Improving Performance Budgeting Theory and Practice." <i>Public Performance & Management Review</i> 38(3): 426-458. Jones, L.R., and Jerry L. McCaffery. 2010. "Performance Budgeting in the U.S. Federal Government: History, Status, and Future Implications." <i>Public Finance and Management</i> 10(3): 482-523. Course Wrap-up & Research Presentations | **Presentations** |
| Week 16 | THIS IS A FINAL EXAM WEEK | **Research |
| (May 3) | Submit a hardcopy of your research paper by May 3, 2017 (Wednesday) @ 3PM in my Office. | Papers DUE** |
| Grades Available: | The course grades will be submitted to the Registrar's Office on or before the date determined by the university. | You are all done here. Good luck elsewhere! |